

Dkt. 01102

Section 4
FE3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **CONFIRMATION NO. 9132**
John A. JONES, et. al. Group Art Unit:
Serial No. 09/863,759 Examiner:
Filed: May 24, 2001
For: FINANCIAL TRANSACTION PROCESSING SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Honorable Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

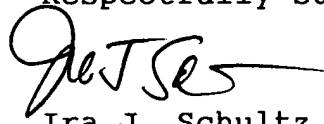
Responsive to the Notice to File Missing Parts of
Nonprovisional Application Filed Under 37 CFR 1.53(b), Filing
Date Granted, dated July 23, 2001, submitted herewith is the
executed inventors' Declaration in connection with the above-
identified application. A Petition for extension is enclosed.

A copy of the Notice to File Missing Parts of
Nonprovisional Application is also enclosed.

The appropriate fee of \$1874.00, covering the filing fee
of \$824.00, the surcharge of \$130.00 for late filing of the
declaration, and \$920.00 for the extension fee is to be paid
by credit card. A Credit Card Payment Form (PTO-2038) is
attached hereto. The Commissioner is hereby authorized to
charge payment of any additional filing fees required under 37

CFR 1.16 or any patent application processing fees under 37 CFR 1.17, or credit any overpayment to the credit card account shown on the attached Credit Card Payment Form. Refund of all amounts overpaid, including those of twenty-five dollars or less, is specifically requested. Any fees not accepted by the credit card shown on the Form PTO-2038 may be charged to Deposit Account No. 04-0753.

Respectfully submitted,



Ira J. Schultz
Reg. No. 28,666
(703) 412-1155 Ext. 23



UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 21 2001

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,759	05/24/2001	John A. Jones	01102

CONFIRMATION NO. 9132

FORMALITIES LETTER



OC000000006327651

 DENNISON, SCHEINER, SCHULTZ & WAKEMAN
 1745 Jefferson Davis Highway, Suite 612
 Arlington, VA 22202

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

 01 FC:101
 02 FC:105
 03 FC:102

 740.00 OP
 130.00 OP
 84.00 OP